



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,961	08/09/2001	Yusuke Yajima	773-013

CONFIRMATION NO. 4406

FORMALITIES LETTER



\*OC000000006559196\*

SOFFER & HAROUN, LLP  
Suite 1921  
342 Madison Avenue  
New York, NY 10173

POSTED

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
 

01/14/2002 MBERHE	00000062	192825	09925961
02 FC:101			740.00 DP
03 FC:105			130.00 DP
04 FC:102	42.00 CH		210.00 DP

  - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

09/25/01 09:25:00

*SA*

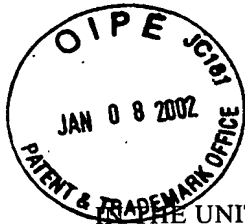
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20030101 101000

PATENT



Docket No. 773-013

✓  
SELTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yajima et al.  
Serial No. : 09/925,961  
Filed : August 9, 2001  
For : OPTICAL MULTIPLE TRANSMISSION METHOD.....

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

I hereby certify that the attached Response to Notice to File Missing Parts, Notice to File Missing Parts, Assignment, Assignment Recordation Sheet, two checks \$110.00 and 1120.00, Declaration, Specification and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By: 

Ester Aronova

Date: 12/13/01

Mailing Address:

SOFER & HAROUN, L.L.P.  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
Tel:(212)697-2800  
Fax:(212)697-3004

Attorney Docket No.: 773-013

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yajima

Serial No.: 09/925,961

Filed: August 9, 2001

For: OPTICAL MULTIPLE TRANSMISSION METHOD:  
OPTICAL NETWORK AND ....



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R:

Enclosed with this Response to Notice to File Missing Parts is a Petition for one Month Extension and two checks in the amount of \$1120.00 and \$110.00 (respectively for the filing fee, assignment and petition) along with the Declaration, Assignment, and Assignment Recordation Sheet. A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Any additional fees required in connection with this application may be charged to Deposit Account No. 19-2825.

Respectfully submitted,  
SOFER & HAROUN, LLP

By: \_\_\_\_\_

Joseph Sofer, Esq.

Reg. No. 34,348

342 Madison Avenue, Suite 1921

New York, New York 10173

(212) 697-2800

Dated: December 13, 2001

200101-19652650